

Compliance As A Service

INDATA's Compliance-as-a-Service is a fully integrated compliance offering that provides software, technology, services and data via a single, fully-integrated solution. Compliance-as-a-Service is offered by INDATA to iPM Epic clients via iPM Cloud as well as in-house software users and provides INDATA clients with the ability to leverage highly experienced INDATA staff for compliance rule writing, set up and testing.

The goal of Compliance-as-a-Service is to assist INDATA clients on an outsourcing basis, as well as to act as an extension of the firm's compliance, operations, and/or trading departments to enhance compliance, increase operational efficiency and reduce risk.

KEY FEATURES

- **Compliance Rule Writing/Creation**
- **Review of Pending Compliance Rules with Client's Compliance and/or Operations Staff**
- **Rule Testing and Ongoing Updates to iPM Compliance Rules Library**

KEY BENEFITS

- **Allows clients to operate in a "hands free" environment without having to worry about or staff around complex compliance rules administration in an effort to mitigate risk and reduce costs**
- **Compliance/Operations staff can shift focus from software administration duties to higher level functions related to core business**
- **Provides clients with highly experienced INDATA staff with compliance knowledge at a fraction of the cost of adding additional in-house resources or utilizing third-party vendor solutions**

REQUIREMENTS

Dedicated, Secure VPN access to client environment on a 24/7 basis (provided already via iPM Cloud or hardware setup and VPN access provided by client)

For additional information, contact your Account Representative at 888-454-4060